



TRANSMITTAL LETTER			Case No. 6270/128
Serial No. 10/689,895	Filing Date October 21, 2003	Examiner Unassigned	Group Art Unit Unassigned
Inventor(s) Ransom et al.			
Title of Invention SYSTEM AND METHOD FOR IMPLEMENTING XML ON AN ENERGY MANAGEMENT DEVICE			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Second Supplemental Information Disclosure Statement; Form PTO-1449; Postcard receipt.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ Petition for a _____ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

				Small Entity		Other Than Small Entity		
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	Rate	Add'l Fee
Total		Minus			x \$9=		x \$18=	
Indep.		Minus			x 43=		x \$86=	
First Presentation of Multiple Dep. Claim					+\$145=		+\$290=	
					Total add'l fee	\$	Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$_____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

James L. Katz
Registration No. 42,711
Attorney for Applicants
Customer No. 00757 - Brinks Hofer Gilson Lione

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to:
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on January 20, 2004.

Date:

1/20/04

Signature:

[Signature]



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

January 20, 2004

Date of Deposit

James L. Katz, (Reg. No. 42,711)

Name of Applicant, Assignee or
Registered Representative

Signature

1/20/04
Date of Signature

Our Case No.: 6270/128

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ransom et al.

Serial No.: 10/689,895

Filing Date: October 21, 2003

For: SYSTEM AND METHOD FOR
IMPLEMENTING XML ON AN
ENERGY MANAGEMENT DEVICE

Examiner: Unassigned

Group Art Unit No.: Unassigned

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. §1.56, it is respectfully requested that this Second Supplemental Information Disclosure Statement be entered. Applicants have enclosed a form PTO-1449. Applicants request that the references

listed in the form PTO-1449 be reviewed and made of record. Copies of the references are not enclosed.

The references now cited are the following:

U.S. PATENT DOCUMENTS		
DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME
4,589,075	05/13/86	Buennagel
4,641,248	02/03/87	Suzuki et al.
5,517,423	05/14/96	Pomatto
5,576,700	11/19/96	Davis et al.
5,680,324	10/21/97	Schweitzer, III et al.
5,736,847	04/07/98	Van Doorn et al.
6,178,362 B1	01/23/01	Woolard et al.
6,259,972 B1	07/10/01	Sumic et al.
6,313,752 B1	11/06/01	Corrigan et al.
6,535,797 B1	03/18/03	Bowles et al.
6,549,880 B1	04/15/03	Willoughby et al.

In accordance with 37 C.F.R. §1.97(g), (h), this Second Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

No fees are believed to be due in connection with filing of this Second Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,



James L. Katz
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Attorney for Applicant(s)

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